



RECEIPT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANTS: Montgomery et al. EXAMINER: N/A
SERIAL NO.: 09/677,919 GROUP: 2643
FILED: 10/3/2000 CASE NO.: LUC-170/Mon*9
TITLE: METHOD FOR AUDIO STREAM MONITORING ON BEHALF OF A
CALLING PARTY

REQUEST FOR CORRECTION TO FILING RECEIPT

Assistant Commissioner for Patents
Washington, D.C. 20231

Dear Sir:

Please correct the following error on the Filing Receipt for the above referenced case.

The 1st named inventor Warren Allen Montgomery should be Warren Alan Montgomery.

Attached is a copy of the Assignment showing the correct spelling of the name of the 1st named inventor.

Respectfully submitted,

Carmen B. Patti
Registration No. 26,784

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the U.S. Postal Service as first class mail in an envelope addressed to Assistant Commissioner for Patents, Washington, D.C. 20231, on April 30, 2001.

Signature Date 4/30/01

RECEIVED

JUL 02 2001

Technology Center 2600



ASSIGNMENT AND AGREEMENT

For value received, we, **Warren Alan Montgomery**, residing in the town of DeKalb, in the County of DeKalb, in the State of Illinois, and **John Alvan Voelker**, residing in the town of Aurora, in the County of Kane, in the State of Illinois, hereby sell, assign and transfer to Lucent Technologies Inc., a corporation of the State of Delaware, having an office at 600 Mountain Avenue, Murray Hill, New Jersey 07974-0636, U.S.A., and its successors, assigns and legal representatives, the entire right, title and interest, for the United States of America, in and to certain inventions related to **METHOD FOR AUDIO STREAM MONITORING ON BEHALF OF A CALLING PARTY** the specification of which *is attached hereto* for Letters Patent of the United States, executed by us of even date herewith, and all the rights and privileges in said application and under any and all Letters Patent that may be granted in the United States for said inventions; and we also concurrently hereby sell, assign and transfer to Lucent Technologies Inc. the entire right, title and interest in and to said inventions for all countries foreign to the United States, including all rights of priority arising from the application aforesaid, and all the rights and privileges under any and all forms of protection, including Letters Patent, that may be granted in said countries foreign to the United States for said inventions.

We authorize Lucent Technologies Inc. to make application for such protection in its own name and maintain such protection in any and all countries foreign to the United States, and to invoke and claim for any application for patent or other form of protection for said inventions, without further authorization from us, any and all benefits, including the right of priority provided by any and all treaties, conventions, or agreements.

We hereby consent that a copy of this assignment shall be deemed a full legal and formal equivalent of any document which may be required in any country in proof of the right of Lucent Technologies Inc. to apply for patent or other form of protection for said inventions and to claim the aforesaid benefit of the right of priority.

We request that any and all patents for said inventions be issued to Lucent Technologies Inc. in the United States and in all countries foreign to the United States, or to such nominees as Lucent Technologies Inc. they may designate.



Montgomery 9-4/LUC-170

We agree that, when requested, we shall, without charge to Lucent Technologies, Inc. but at its expense, sign all papers, and do all acts which may be necessary, desirable, or convenient in connection with said applications, patents, or other forms of protection.


Warren Alan Montgomery

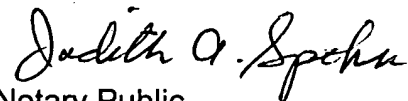
Date: 9-29-2000

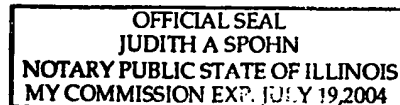
United States of America)

State of) ss.: Illinois

County of) DuPage

On this 29th day of September, 2000, before me personally came Warren Alan Montgomery, to me known to be the individual described in and who executed the foregoing instrument, and acknowledged execution of the same.


Notary Public





Montgomery 9-4/LUC-170

John Alvan Voelker
John Alvan Voelker

Date: 9/29/2000

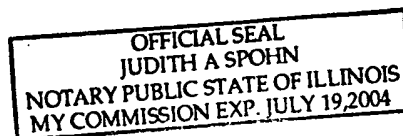
United States of America)

State of) ss.: Illinois

County of) DuPage

On this 29th day of September, 2000, before me personally came John Alvan Voelker, to me known to be the individual described in and who executed the foregoing instrument, and acknowledged execution of the same.

Judith A. Spohn
Notary Public





UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov



Bib Data Sheet

CONFIRMATION NO. 5970

SERIAL NUMBER 09/677,919	FILING DATE 10/03/2000 RULE	CLASS	GROUP ART UNIT 2645	ATTORNEY DOCKET NO. LUC-170/Mon*9
APPLICANTS Warren Alan Montgomery, DeKalb, IL; John Alvan Voelker, Aurora, IL;				
** CONTINUING DATA ***** ** FOREIGN APPLICATIONS *****				
IF REQUIRED, FOREIGN FILING LICENSE GRANTED ** 11/29/2000				
Foreign Priority claimed <input type="checkbox"/> yes <input type="checkbox"/> no 35 USC 119 (a-d) conditions met <input type="checkbox"/> yes <input type="checkbox"/> no <input type="checkbox"/> Met after Allowance Verified and Acknowledged _____ Examiner's Signature _____ Initials _____		STATE OR COUNTRY IL	SHEETS DRAWING 4	TOTAL CLAIMS 28
				INDEPENDENT CLAIMS 3
ADDRESS Carmen B. Patti Wildman, Harrold, Allen & Dixon 225 West Wacker Drive Chicago, IL 60606				
TITLE Method for audio stream monitoring on behalf of a calling party				
FILING FEE RECEIVED 854	FEES: Authority has been given in Paper No. _____ to charge/credit DEPOSIT ACCOUNT No. _____ for following:		<input type="checkbox"/> All Fees <input type="checkbox"/> 1.16 Fees (Filing) <input type="checkbox"/> 1.17 Fees (Processing Ext. of time) <input type="checkbox"/> 1.18 Fees (Issue) <input type="checkbox"/> Other _____ <input type="checkbox"/> Credit	